Large-scale study validates that regional fungicide applications are major determinants of resistance evolution in the wheat pathogen Zymoseptoria tritici in France

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Running head:

Resistance selection driven by regional fungicide use in French *Zymoseptoria tritici* populations.

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Summary:

- Research rationale: In modern cropping systems, the quasi-systematic use of plant protection products selects for resistance in pest populations. The emergence and evolution of this adaptive trait threaten treatment efficacy. We identified determinants of fungicide resistance evolution and quantified their effects at a large spatiotemporal scale.
- Methods: We focused on *Zymoseptoria tritici*, which causes leaf blotch in wheat. Phenotypes of qualitative or quantitative resistance to various fungicides were monitored annually, from 2004 to 2017, at about 70 sites throughout France. We modelled changes in resistance frequency with regional anti-*Septoria* fungicide use, yield losses due to the disease and the

regional area under organic wheat.

- Key results: We found that the major driver of resistance dynamics was fungicide use at the regional scale. We estimated its effect on the increase in resistance and apparent relative fitness of each resistance phenotype. The predictions of the model replicated the spatiotemporal patterns of resistance observed in field populations fairly accurately.
- Main conclusion: The evolution of fungicide resistance is determined at very large scales. There is therefore a need for the collective management of resistance, which could be guided by the results of studies like this.

Keywords:

Mycosphaerella graminicola, *Zymoseptoria tritici*, Septoria leaf blotch, Resistance determinants, Large-scale evolution, Regional fungicide use, Resistance dynamics, Mathematical modelling

1 INTRODUCTION

The efficacy of pesticides and drugs has been compromised by the rapid and widespread evolution of resistance, increasing the use of pesticides and drugs to maintain control levels (Georghiou & Mellon, 1983; Russell, 2005; Gould *et al.*, 2018). The management of resistance evolution is essential for human health, biodiversity and food security, given the rapid emergence and spread of resistance and the lack of new modes of action (MoA) (Palumbi, 2001; Grimmer *et al.*, 2014). Many studies have investigated the effects of various factors on the evolution of resistance: fitness cost (Andersson, 2003), mutation rate (Martinez & Baquero, 2000; Gressel, 2011), population size (Sisterson *et al.*, 2004), strength of selection pressure and its mitigation in anti-resistance strategies (Oz *et al.*, 2014; van den Bosch *et al.*, 2014). A number of studies have advocated further studies on the relative impact of these factors on a given pest and of the interactions between these factors (Berendonk *et al.*, 2015; Hughes & Andersson, 2015), with a view to promoting large-scale strategies (Okeke *et al.*, 2005; Menalled *et al.*, 2016).

Several studies have shown how agricultural selection pressures affect the large-scale structure of pest populations at national scale. For instance, the national distribution of resistance varieties shapes the adaptation of pathogen populations to cultivars (Tyutyunov *et al.*, 2008; Papaïx *et al.*, 2011). Historical herbicide applications have been shown to drive the evolution of herbicide resistance at a national scale (Hicks *et al.*, 2018). For fungicide resistance, theoretical studies have revealed that combining effective MoAs over time and space can delay resistance evolution (REX Consortium, 2013; van den Bosch *et al.*, 2014) and that large-scale management strategies may differ and interact with in-field strategies (Parnell *et al.*, 2006). However, so far, in the absence of large-scale studies, recommendations about fungicide use mostly stem from empirical studies conducted in local field trials assessing the impact of different spraying strategies (Rosenzweig *et al.*, 2008; Dooley *et al.*, 2016a,b; Heick *et al.*, 2017).

67 The aim of this study was to highlight the determinants of fungicide resistance evolution at the national
68 scale in France. We investigated the main potential drivers of evolution: (*i*) the selection pressure
69 effect, as assessed by regional fungicide use, (*ii*) the genetic drift effect, which is modulated by
70 population size (Maxwell *et al.*, 1990; Sisterson *et al.*, 2004), using yield losses as a proxy and (*iii*) the
71 refuge effect, including the fraction of wheat fields unsprayed with fungicides over the territory
72 (Parnell *et al.*, 2006; Tabashnik *et al.*, 2008), assessed by determining the area under organic wheat.
73
74 We focused our analysis on *Zymoseptoria tritici* (formerly *Septoria tritici* and *Mycosphaerella*
75 *graminicola* as teleomorph), an ascomycete responsible for septoria leaf blotch (STB) on winter wheat.
76 *Z. tritici* has many features facilitating the emergence of resistance: high genome plasticity, a large
77 population size, high genetic diversity, asexual and sexual reproduction, an ability to disperse over
78 large distances (Zhan & McDonald, 2004; Croll & McDonald, 2012). STB is one a major wheat disease
79 that can cause yield losses of up to 50% worldwide (Ponomarenko *et al.*, 2011; Torriani *et al.*, 2015).
80 In western Europe, up to 70% of all fungicide use is linked to STB control (Fones & Gurr, 2015). As a
81 result, various degrees of resistance to all authorised unisite inhibitors (*i.e.* targeting a single cellular
82 site) have been observed in France.
83
84 We previously proposed an initial analysis of the Performance trial network dataset, in which
85 phenotypes of resistance to four fungicide MoAs were monitored annually, from 2004 to 2017, at
86 about 70 sites throughout France (Garnault *et al.* 2019). We found significant differences between
87 resistance phenotypes in terms of changes in spatial distribution and/or growth rates. Major
88 differences in population structure and dynamics were highlighted between the north and south of
89 France.
90
91 We develop here an explanatory model for identifying the determinants of these regional
92 spatiotemporal heterogeneities in resistance evolution according to resistance phenotype. We

investigated the effect of annual fungicide use, pathogen population size and the fraction of refuges, all at the regional scale. Our analysis shows that the evolution of resistance can be assessed at regional scale, and that the major determinant of resistance is the selection pressure exerted by fungicide applications in the preceding year. This study provides empirical results for regional resistance management, at a level intermediate between field and national recommendations. A sound understanding of resistance evolution is required for smart resistance management and should ultimately help to reduce pesticide use in agrosystems.

2 MATERIALS AND METHODS

2.1 Data description

2.1.1 Sampling of *Z. tritici* populations and estimation of resistance frequency

The "Performance network" is supervised by ARVALIS-Institut du Végétal and the INRAE research institute at Thiverval-Grignon. It carried out field trials on wheat throughout France between 2004 and 2017. The frequency of resistant phenotypes in *Z. tritici* populations sampled annually in these trials is recorded in the associated dataset (see Garnault *et al.*, 2019 for further information).

The frequency of resistant phenotypes was estimated by collecting bulk pycnidiospores from 30-40 infected leaves to ensure that the sample was representative of local populations. Phenotypes were distinguished on the basis of their germination or growth on Petri dishes containing discriminatory doses of fungicides, optimised on individual genotyped isolates (see Leroux & Walker, 2011 and Garnault *et al.*, 2019 for more details). We then considered: (*i*) the phenotype displaying specific qualitative resistance to strobilurins (or QoIs; inhibitors of respiration complex III), hereafter referred to as the StrR phenotype, (*ii*) the group of phenotypes with moderate quantitative resistance to DMIs (sterol 14α-demethylation inhibitors), hereafter referred to as TriMR phenotypes, (*iii*) the group of phenotypes with a high quantitative resistance to DMIs, hereafter referred to as TriHR phenotypes. The TriMR group encompasses the TriR6 and TriR7-TriR8 phenotypes, which were also included in the analysis (TriR6 strains were recognised on the basis of their growth on low doses of prochloraz,

119 contrasting with the lack of growth of TriR7–TriR8 strains in these conditions; Leroux & Walker, 2011).
120
121 Region, year, sampling date and cultivar grown were recorded for each sample. We considered only
122 populations from unsprayed plots for this study. The plots were sampled at two time points: at "S1" in
123 April-May, at about the Z32 wheat stage (*n*=1320, from 2006 to 2011), and at "S2" in May-June, at
124 about the Z39-Z55 wheat stage (*n*=2407, from 2004 to 2017).
125
126 In this study, we focused on the phase of resistance selection. We therefore extracted from the
127 Performance dataset the time periods during which resistance frequencies were increasing. These
128 periods were 2004 to 2012 (*n*=852, 16 regions) for the StrR phenotype, 2005 to 2011 (*n*=754, 16
129 regions) for the TriMR phenotype group, and 2010 to 2017 (*n*=360, 14 regions) for the TriHR phenotype
130 group. We also included data from 2006 to 2017 for the TriR6 and TriR7-TriR8 phenotypes (*n*=910 and
131 *n*=851, respectively), for analysis of the spatial heterogeneity of their frequencies. The data are
132 summarised in Table 1.
133
134 **2.1.2 Regional fungicide use**
135 Every year, Bayer Crop Science uses field surveys to estimate the area of wheat sprayed with fungicides
136 containing anti-STB active ingredients (AIs) in each region of France. These data do not include
137 information about the dose used in the application. They provide information only about the areas
138 sprayed with the AIs concerned, and the number of sprayings. These areas are expressed in deployed
139 hectares.
140
141 We retained the most widely used AIs for each MoA (AIs accounting cumulatively for more than 95%
142 of the use of the MoA), to prevent background noise from AIs with a limited impact on STB control.
143 The model therefore included pyraclostrobin (26%), azoxystrobin (19%), trifloxystrobin (15%),
144 kresoxim-methyl (15%), fluoxastrobin (12%) and picoxystrobin (12%) for QoIs; and epoxiconazole

(30%), prochloraz (17%), tebuconazole (13%), cyproconazole (11%), prothioconazole (10%), propiconazole (7%), metconazole (7%), fluquinconazole (2%) and hexaconazole (1%) for DMIs.

We took the regional heterogeneity in wheat production between regions (and, hence, in the area sprayed with fungicides) into account, by dividing the number of deployed hectares by the regional area under conventionally farmed wheat. The latter was calculated by subtracting the area under organic wheat (see section 2.1.4) from the total area under wheat (from the AGRESTE online data: agreste.agriculture.gouv.fr) for each year and region. This new variable unit was named $ha_{\frac{D}{C}}$ (D for deployed and C for cropped hectares), and was proportional to the mean number of times each AI was used over a cropping season in a given region. The national trend and the regional heterogeneity of fungicide use expressed in $ha_{\frac{D}{C}}$ are shown for DMIs and QoIs in Fig. 1. Henceforth, this variable is denoted F_{itf}, with *f* corresponding to the AI, *t* to the year and *i* to the region.

2.1.3 Yield losses induced by STB

ARVALIS-Institut du Végétal assessed annual yield loss by conducting paired plot experiments throughout France (a mean 80 trials annually, from 2004 to 2017, in 20 French regions) (Arvalis, 2019). In each trial, we considered modalities cropped with STB-susceptible wheat cultivars, in both unsprayed plots and sprayed plots (providing maximum protection against diseases). Yield losses due to STB were calculated by subtracting the yield in the unsprayed plot from that in the sprayed plot. We predicted regional yield losses for each year with a linear model (fixed effects: year, region; random effects: wheat cultivar, trial). The national trend and the regional heterogeneity of yield losses, expressed in decitons per hectare, are shown in Fig. 1. This variable is denoted P_{it} hereafter, with *t* corresponding to the year and *i* to the region.

2.1.4 Proportion of the total area under wheat farmed organically

The area under organically farmed wheat crops was recorded by AgenceBIO (the French national

platform for the promotion and development of organic farming) and ARVALIS-Institut du Végétal. We collected regional data from 2007 onwards, and national data from 2004 onwards. The regional areas under organic wheat between 2004 and 2006 were assessed from the observed mean proportions of the regional area under organic wheat in subsequent years and from national data for 2004 to 2006. We used the regional proportion of wheat under organic farming in our models. This proportion was calculated by dividing the regional area under organic wheat by the total area under wheat in the same region, based on AGRESTE online data. The national trend and the regional heterogeneity of the area under organic wheat, expressed in hectares, are shown in Fig. 1. This variable is denoted R_{it} hereafter, with *t* corresponding to the year and *i* to the region.

2.2 Statistical modelling

We modelled the change in frequency for each resistance phenotype in French populations. The model took into account (*i*) the different phases of resistance dynamics (see below), (*ii*) the effects of previously described potential regional determinants and finally (*iii*) variability due to the sampling design (sampling date and wheat cultivar).

Phases in resistance dynamics. We distinguished three phases in resistance dynamics: "no resistance" (frequency equal to 0), "resistance selection" and "generalized resistance" (frequency equal to 100). During the "resistance selection" phase, observations were modelled with binomial random variables with a sample size of 100 (mean number of observed spores used to determine frequencies). The probabilities that a population was in the "no resistance", "generalized resistance" or "resistance selection" phase depended on the year *t*. These probabilities were referred as π_{0t}, π_{100t} and $(1 - \pi_{0t} - \pi_{100t})$, respectively. Thus, Y_{itjkn}, the n^{th} frequency observed in region *i,* in year *t,* on cultivar *j* and at sampling date *k* followed a zero-and-one inflated binomial distribution (Eqn 1).

Eqn 1

$$Y_{itjkn}\begin{cases} = 0 & with\ probability\ \pi_{0t} \\ \sim \mathcal{B}(100, p_{itjkn}) & with\ probability\ 1-\pi_{0t}-\pi_{100t} \\ = 100 & with\ probability\ \pi_{100t} \end{cases}$$

Resistance evolution. Using a logit transformation (Eqn 2), the proportion p_{itjkn} of resistant phenotypes during resistance evolution was modelled by the regional dynamics D_{it} and the variability due to sampling design ζ_{itjkn}.

Eqn 2

$$logit(p_{itjkn}) = ln\left(\frac{p_{itjkn}}{1-p_{itjkn}}\right) = D_{it} + \zeta_{itjkn}$$

Regional dynamics. The change in resistance frequencies depended on regional-scale variables: fungicide use, yield losses and areas under organic farming. The regional dynamics $D_{i(t+1)}$ in region *i* at year *t+1* was obtained by adding the regional dynamics of the previous year D_{it} to the additive effects of fungicide use ϕ_{it}, yield loss ρP_{it} and wheat area under organic farming κR_{it} in year *t* (Eqn 3). D_{i1} is related to the logit of the initial resistance frequency in region *i*. The parameter β is a constant corresponding to a continuous shift in resistance frequency.

Eqn 3

$$D_{i(t+1)} = D_{it} + \beta + \phi_{it} + \rho P_{it} + \kappa R_{it} \qquad with\ t \geq 1$$

We derived two models from Eqn 3: one in which the use of fungicides is specified for each AI within MoAs, and another in which the global use of each MoA (*i.e.* sum of AIs uses) is considered.

In the first model, $\mathbf{M_{AI}}$, the term ϕ_{it} from Eqn 3 was defined as in Eqn 4:

Eqn 4

$$\phi_{it} = \sum_{f \in \mathcal{F}} \nu_f F_{itf}$$

F_{itf} corresponds to the fungicide use for a specific AI *f,* in region *i* and year *t* (see section 2.1.2). The set $\mathcal{F}$ included all AIs associated with considered resistance: QoIs for the StrR phenotype, DMIs for the TriR phenotypes. We assumed that fungicide use positively selected resistant phenotypes over susceptible or less sensitive phenotypes. Thus, the parameters associated with fungicide use were, by definition, positive (*i.e.* $\nu_f \geq 0$), except for the TriR6 and TriR7-TriR8 phenotypes, which were not the most DMI-resistant phenotypes over their study period.

In the second model, $\mathbf{M}_{MoA}$, we considered : $F_{it.} = \sum_{f \in \mathcal{F}} F_{itf}$, the regional use of a given MoA. The term ϕ_{it} from Eqn 3 was simplified and written: $\phi_{it} = \nu * F_{it}$. This model was run only for the StrR, TriMR and TriHR phenotypes, with, as above, the constraint $\nu \geq 0$.

Observation variability. The variability ζ_{itkln} of observations in Eqn 2 was modelled with a mixed model (Equation 5).

Equation 5

$$\zeta_{itjkn} = \gamma_j + \delta_k + \varepsilon_{itjkn}$$

The parameter γ_j corresponds to the random effect of wheat cultivar (γ_j drawn from a centered Gaussian distribution with standard deviation σ_γ). The parameter δ_k is the fixed effect of sampling date *k* (*i.e.* "S1" or "S2", see section 2.1.1, with contrast $\delta_{S2} = 0$). Finally, ε_{itjkn} is the overdispersion, modelled as a random individual effect with a mean of 0 and a standard deviation of σ.

245

246 **2.3 Parameter expression**

247 The explanatory variables had different units (*e.g.* proportion of wheat under organic farming *vs.*
248 fungicide use). Moreover, the interpretation of the parameters of the zero-one-inflated logistic
249 regression was not straightforward. We simplified the interpretation, by defining the *expected*
250 *frequency change* (EFC) for each variable and phenotype. The EFC described the frequency shift due to
251 the mean value of this variable over a population with the mean resistance frequency (*i.e.* the
252 difference between p^e, the *expected frequency*, and $\bar{p}$, the *mean frequency* of the resistance
253 phenotype in the data). The expected frequency was computed with:

254 • for ν_f, the effect of fungicide use: $logit\left(p^e_{\nu_f}\right) = logit(\bar{p}) + \hat{\nu}_f \bar{F}_f$, where $\bar{F}_f$ is the mean
255 annual use of fungicide *f* over all regions, and $\hat{\nu}_f$ is the estimate of ν_f;
256 • for β, the effect of constant growth: $logit\left(p^e_\beta\right) = logit(\bar{p}) + \hat{\beta}$;
257 • for ρ, the effect of yield losses due to STB: $logit\left(p^e_\rho\right) = logit(\bar{p}) + \hat{\rho}\bar{P}$;
258 • for κ, the effect of the area of wheat under organic farming: $logit(p^e_\kappa) = logit(\bar{p}) + \hat{\kappa}\bar{R}$;
259 • for δ, the effect of sampling date: $logit(p^e_\delta) = logit(\bar{p}) + \hat{\delta}_{T0}$;
260 • for σ_γ, the standard deviation from the cultivar effect : $logit\left(p^e_{\sigma_\gamma}\right) = logit(\bar{p}) \pm \hat{\sigma}_\gamma$.

261 The *expected frequency change* was then calculated as $EFC = (p^e - \bar{p}) * 100$.

262

263 **2.4 Bayesian analysis**

264 Statistical analyses were performed with *R* software (R Development Core Team, 2008), in a Bayesian
265 framework, with the *rjags* package (Plummer, 2013).

266

267 ***Prior and posterior densities.*** Non-informative prior distributions were used (Supporting Information,
268 Eqn S1). Posterior distributions were estimated by Monte Carlo-Markov chain (MCMC) methods. Five
269 MCMC chains were run, over 1 000 000 iterations, with a burn-in of 100 000 and a thinning every 1

000 for the variable selection phase (see the following section), followed by 500 000 iterations with a burn-in of 50 000 and a thinning every 500 for the final parameter estimation. Convergence was assessed with the Gelman and Rubin $\hat{R}$ statistic (Gelman *et al.*, 2004). Credible intervals of the highest posterior density were calculated from posterior densities with the *HDI* package (Dezeure *et al.*, 2015). Parameter estimates were considered significant at the 5% level (or the 2.5% or 0.1% level), if their 95% credible interval (97.5% and 99.9%, respectively) did not contain the 0 value.

Variable selection. We used a selection procedure to identify the relevant variables in each model for each resistance phenotype. We used a method based on indicator variables (Kuo & Mallick, 1998), in which each predictor was multiplied by a dummy variable with a prior distribution corresponding to a Bernoulli distribution with parameter p = 0.5. A predictor was retained in the model if the posterior expectation of its indicator variable was greater than 0.75 (thus, greater than its prior expectation of 0.5).

Predictive check. We assessed the fit of the model to the data by posterior predictive checks (Gelman *et al.*, 2004). Replicated data (y_{itjkn}^{rep}) generated during the MCMC algorithm from the model posterior densities were compared to observed data (y_{itjkn}). The mean posterior value of $PP_{itjkn}^{check} = P(y_{itjkn} - y_{itjkn}^{rep} < 0 \mid Y)$, where Y is the vector of observations, was calculated and denoted PP_{check}. This value indicated the goodness of fit of the model, with a good fit corresponding at $PP_{check} = 0.5$.

Variable weight. We assessed the influence of each explanatory variable θ by calculating its weight (W_θ). The weight W_θ was defined as the ratio of $RSS_{full-\theta}$ to RSS_{full}, where RSS_{full} and $RSS_{full-\theta}$ are the residual sums of squares of the full model (*i.e.* including all the explanatory variables selected by the variable selection procedure) and of this same model but without the explanatory variable θ, respectively. RSS should be minimal for the full model, so removing an explanatory variable should increase RSS: the more information θ contributes, the greater the increase in RSS and the higher the

296 value of W_θ. Conversely, if the information provided by θ is negligible, RSS is unaffected and W_θ is
297 minimal (*i.e.* close to 1). In the result tables, we have calculated the relative weights by dividing
298 individual variable weights by the sum of the weights of all variables.
299
300 ***Model comparison.*** For comparison of the M_{AI} and M_{MoA} models, we calculated the deviance
301 information criterion, DIC (Plummer, 2013), and the coefficient of determination, R^2.
302
303 ***Predicted data.*** We computed predictions of the resistance frequencies for each phenotype for a given
304 region *I*, and a given year *T* (Equation 6).
305
306 **Equation 6**
307 $$\hat{Y} = [1 - (\hat{\pi}_{0T} + \hat{\pi}_{100T})] * logit^{-1}\left[\hat{D}_{I1} + \hat{\beta}(T-1) + \sum_{t=1}^{T-1}\left(\hat{\rho}P_{It} + \hat{\kappa}R_{It} + \sum_{f\in\mathcal{F}}\hat{v}_f F_{Itf}\right)\right] + \hat{\pi}_{100T}$$
308
309 where parameter-hat are parameter estimates (*i.e.* their posterior mean). We therefore built maps of
310 resistance status, for known initial frequencies, use of fungicides, yield losses and areas under organic
311 wheat, from year 1 to year *T-1* in region *I*.
312
313 **3 RESULTS**
314 **3.1 Overview of model fits**
315 The convergence of the MCMC chain was satisfactory for all models (*i.e.* the Gelman and Rubin
316 indicator $\hat{R}$ was below 1.1 for all parameters, in all models) and model fit was good (PP_{check} always
317 between 0.498 and 0.511).
318
319 After the selection procedure, no effect was retained for the following models: M_{AI} for the TriHR
320 resistance phenotype, and M_{MoA} for the TriMR and the TriHR groups of resistance phenotypes. Thus,
321 the effects of fungicide use, yield losses, and areas of wheat under organic farming were not significant

in these models. The only remaining parameter was the growth constant (already studied in Garnault *et al.*, 2019). As all estimates of the parameters of interest were equal to 0, we do not discuss the results of these models, and they do not appear in the result tables.

Finally, with Spearman's method, a few significant correlations were found between some AI uses (F_{itf}) in model inputs, but no significant correlation between estimates ($\hat{v}_f$) was found in model outputs (Supporting Information, Fig. S1).

3.2 Ranking of variable weight

Regional fungicide use appeared to be the major factor driving resistance evolution. In the M_{AI} models, which explicitly considered each AI, regional fungicide uses systematically had the highest relative weight. For the StrR and TriMR phenotypes, it accounted for 87.4% and 72.6%, respectively. It accounted for 53.1% and 43.3% for the TriR6 and TriR7-TriR8 phenotypes, respectively (Table 2). For the M_{MoA} models, fungicide use, considered as the sum of AI uses within the same MoA, was also the major determinant of the StrR phenotype (associated with qualitative resistance to QoIs), accounting for 79.4% of the sum of variable weights (Table 3). For the TriMR and TriHR groups of phenotypes, no explanatory variables were selected for the M_{MoA} models.

The growth constant was also a major parameter, albeit to a lesser extent. In M_{AI} models, the weight of the growth constant was lower than that of regional fungicide use by a factor of 5.7 times for TriMR phenotypes, 1.32 for the TriR6 phenotype, and 1.08 for the TriR7-TriR8 phenotypes (Table 2). For the StrR phenotype, the growth constant ranked third, with a weight lower than that of fungicide use by a factor of almost 20, for both models (Tables 2 and 3).

The proportion of the area under wheat farmed organically had a high relative weight for the StrR and TriMR phenotypes, but was not selected for the TriR6 and TriR7-TriR8 phenotypes. For the StrR

348 phenotype, its effect was ranked second on the basis of relative weight, at 14.6% and 6.8% in the M_{MoA}
349 and the M_{AI} models, respectively (Tables 2 and 3). For TriMR phenotypes, the relative weight of the
350 wheat area under organic farming was about 13.3%, a value very similar to that for the growth constant
351 (Table 2). Yield loss was systematically excluded during the selection procedure, for all models and all
352 phenotypes.
353 Sampling data and wheat cultivar, variables reflecting local variability in trials, had only a low relative
354 weight in models, with values always below 5%, except for the wheat cultivar variable for the TriR7-
355 TriR8 phenotype, for which the value was 13.4% (Table 2).
356
357 **3.3 Effect of variables at the regional scale**
358 **3.3.1 Regional fungicide use**
359 For the StrR phenotype, in the M_{MoA} model, the effect of the overall use of QoI fungicides was highly
360 significant ($P < 0.001$) and the expected frequency change (EFC) was estimated at 6.64%. Thus, on
361 average, the use of QoIs led to a 6.64% annual increase in the frequency of StrR (Table 3).
362
363 In the M_{AI} model, two fungicides from the six QoI AIs were selected: kresoxim-methyl and
364 pyraclostrobin. Their EFCs were similar: 4.26% ($P < 0.001$) and 3.29% ($P < 0.025$), respectively (Table
365 2). The M_{MoA} and M_{AI} models also had similar adequacies to data, according to their DIC (3480.2 and
366 3468.8 respectively) and R^2 values (0.82 and 0.81, respectively).
367
368 For TriMR phenotypes, the effect of DMI use was estimated only in the M_{AI} model, as no explanatory
369 variable was selected in the M_{MoA} model. One AI of the nine DMI fungicides was selected:
370 epoxiconazole ($P < 0.025$) with an estimated positive EFC of 4.82% (Table 2).
371
372 As the TriR6 and TriR7-TriR8 phenotypes were not the most resistant to DMI over the study period,
373 the effect of fungicide use was not constrained to be null or positive, and was estimated only in the

374 M_{AI} model. For the TriR6 phenotype, three AIs from the nine DMI fungicides were selected: prochloraz,
375 with a positive EFC of 4.73% ($P < 0.001$), propiconazole with a negative EFC of -2.48% ($P < 0.025$) and
376 tebuconazole with a negative EFC of -8.38% ($P < 0.001$). Thus, mean annual prochloraz use increased
377 the frequency of TriR6 by 4.73%, whereas mean annual use of tebuconazole counterselected TriR6
378 strains, leading to an 8.38% decrease in their frequency. For the TriR7-TriR8 phenotype, three AIs from
379 the nine DMI fungicides were selected: cyproconazole and tebuconazole, with positive EFCs of 2.6% (P
380 < 0.025) at 2.38% ($P < 0.05$), respectively, and prochloraz, with a negative EFC of -3.99% ($P < 0.001$).
381 Prochloraz and tebuconazole clearly had opposite selection effects on TriR6 and TriR7-TriR8
382 phenotypes.
383
384 **3.3.2 Growth constant**
385 The growth constant EFC quantified the change in resistance in the absence of fungicide use and
386 unsprayed refuges, for a mean potential yield loss. It therefore represented the relative apparent
387 fitness (referred to hereafter simply as fitness) of the resistant phenotype considered (*i.e.* how much
388 faster the resistant phenotype would grew compared to the rest of the population (Hartl & Clark, 1997)
389 in a year without fungicide treatment). A negative growth constant indicates a fitness cost, whereas a
390 positive growth constant indicates a fitness gain. For the StrR phenotype, the growth constant
391 provided an indication of the fitness of the resistant strains relative to the sensitive strains. The
392 estimated fitness costs for this phenotype were similar in the M_{AI} (-3.74%; $P < 0.05$; Table 2) and M_{MoA}
393 (-3.23%; not significant; Table 3) models. DMIs selected a large diversity of phenotypes (TriLR, TriMR
394 and TriHR groups), and no sensitive strains were detected during the study period. For TriMR
395 phenotypes, the model was estimated with data from 2007 to 2011, when the frequency of the TriHR
396 phenotype was still negligible (Fig. 1). Thus, the growth constant for TriMR phenotypes mostly
397 compared their fitness with that of TriLR phenotypes. It was estimated at -3.94%, of borderline
398 significance ($P < 0.1$), and was associated with a relative weight of 12.7% (Table 2). The TriMR group
399 included the TriR6 and TriR7-TriR8 phenotypes. For the TriR6 and TRiR7-TriR8 strains, the model was

400 estimated with data from 2006 to 2017. However, the TriHR phenotype has been non-negligible since
401 2014 (Figure 1). Thus, the growth constant for TriR6 strains compared their fitness with that of all the
402 other phenotypes in the population: TriLR, TriR7-TriR8 and TriHR. The TriR6 growth constant was
403 estimated at 3.58% ($P < 0.025$; Table 2). This result may reflect a balance between a fitness cost of
404 TriR6 relative to TriLR and TriR7-TriR8 strains, and a fitness gain relative to the TriHR phenotype. This
405 rationale also applies to the apparent fitness gain of TriR7-TriR8, estimated at +1.63% ($P < 0.1$; Table
406 2).
407
408 **3.3.3 Wheat area under organic farming**
409 The proportion of the area under wheat management by organic farming methods increased
410 resistance frequency, with an EFC estimated at 3.95% and 3.26% in the M_{MoA} and M_{AI} models,
411 respectively, for the StrR phenotype ($P < 0.001$; Tables 2 and 3), and at 2.52% for the TriMR phenotype
412 in the M_{AI} model ($P < 0.001$; Table 2). This variable was not selected for the TriR6 and TriR7-TriR8
413 phenotypes.
414
415 **3.3.4 Yield losses**
416 The selection procedure did not retain the yield loss variable in any of the models.
417
418 **3.4 Prediction maps**
419 We mapped the predicted resistance frequencies for each year and for all phenotypes. Our maps
420 predicting StrR phenotype dynamics were mostly based on regional QoI fungicide use and, to a lesser
421 extent, the area under organic wheat, as explanatory variables (Fig. 2). Predictions mimicked the
422 spatial propagation from the north to the south of France observed between 2004 and 2011 (as
423 described by Garnault *et al.*, 2019). Based on regional DMI use, our model accurately predicted (Fig. 3)
424 the observed spatial partitioning of TriR6 strains, which were found mostly in the north east of France,
425 and TriR7-TriR8 phenotypes, which were mostly localised in the south west (as described by Garnault

426 *et al.*, 2019).

427

428 **4 DISCUSSION**

Commenté [FC1]: 1992

Commenté [FC2R1]: Max 6500*0.3=1950

Commenté [FC3R1]: 1937 !!

429 In this study, we developed a model for identifying the determinants of fungicide resistance evolution
430 at the regional scale. The candidate explanatory variables were regional fungicide use, pathogen
431 population size (approximated by potential yield losses) and the fraction of refuges (approximated by
432 the fraction of fields under organic wheat in a region). We analysed resistance frequencies in *Z. tritici*
433 populations on winter wheat. Frequencies were monitored by the Performance trial network over the
434 national territory of French (2004–2017; ~70 locations each year). We studied resistance against
435 fungicides with two modes of action: QoIs, associated with qualitative resistance (StrR phenotype),
436 and DMIs, associated with quantitative resistance (a continuum of multiple resistance phenotypes
437 forming three main groups: TriLR, TriMR and TriHR, with low, medium and high levels of resistance to
438 DMIs, respectively). The TriMR phenotypes encompassed two phenotypes: TriR6 and TriR7-TriR8.

439

440 ***The regional use of fungicides is the main driver of resistance evolution at the plot scale***

441 We demonstrated that regional fungicide use was a major determinant of the evolution of resistance.
442 Fungicide use data at regional level, without taking into account the use of particular fungicides in
443 particular fields, was sufficiently informative to explain resistance dynamics. Fungicide selection is,
444 therefore, a large-scale process, and an understanding of the evolution of fungicide resistance requires
445 consideration of the regional use of these compounds.

446

447 For qualitative resistance (e.g. StrR phenotype), the global use of a MoA (*i.e.* summed uses of the AIs
448 of this MoA), can be considered a good predictor of resistance evolution and distinguishing the effects
449 of individual AIs within the MoA did not improve the fit of the model. By contrast, for quantitative
450 resistance, it appeared to be necessary to consider each AI within the MoA separately, as they may
451 select for different phenotypes (*e.g.* antagonist effects of prochloraz, tebuconazole on TriR

phenotypes).

Interestingly, the AIs selected by the model were consistent with the history of fungicide use and/or with the patterns of cross-resistance described for some phenotypes. For instance, kresoxim-methyl was one of the first QoIs authorised in France (commercialised in 1997, www.ephy.anses.fr) and was selected to explain the evolution of StrR strains, whereas more recent QoIs were not. The fungicides most used over the study period (*i.e.* epoxiconazole for DMIs, pyraclostrobin and kresoxim-methyl for QoIs; Supporting Information, Fig. S2-S3), were also selected in models. The large-scale effect of AIs was also linked to the cross-resistance pattern of the phenotypes . The estimated selection effect of epoxiconazole was consistent with Resistance Factors (RFs) to epoxiconazole of TriMR phenotypes being higher than those of TriLR phenotypes, the second most frequent resistance phenotype during this period (RFs described in Supporting Information, Table S1 from Leroux & Walker, 2011). The effect by Prochloraz of selection for TriR6 strains and counterselection for TriR7-TriR8 strains was consistent to RFs (6.7 and <1.5, respectively) as the effect of counterselection for TriR6 and selection for TriR7-TriR8 strains by tebuconazole (RF=74 and 91, respectively for TriR6 and TriR8 strains, TriR7 strains being unfrequent (Huf *et al.*, 2018)). Propiconazole and cyproconazole were often used together as a mixture, so were strongly correlated (Supporting Information, Fig. S1). The effects of counterselection for TriR6 strains by propiconazole and of selection for TriR7-TriR8 by cyproconazole, even if their reciprocal effects were not selected, may indicate that their mixture globally promoted the selection of TriR7-TriR8 strains over TriR6 strains. Again, this is consistent with RFs to propiconazole (35 and 54, respectively for TriR6 and TriR8 strains) and to cyproconazole (11 and 13, respectively). These findings also confirm that the laboratory characterisation of strains can be good predictor of resistance evolution in the field, if properly used, as reported by Blake *et al.* (2018).

Commenté [FC4]: J'ai simplifié pas mal ici vérifié la validité du nouveau texte

Our findings highlight the importance of defining homogeneous resistance profile phenotypes. Indeed, the model fitted slightly better the frequencies of more precised phenotypes strains (R^2 = 0.48 for

TriMR vs. 0.56 for TriR6 and TriR7-TriR8 phenotypes). In addition, no significant effect of the different DMIs was found for the TriHR phenotype group. Indeed, TriHR strains encompass multiple heterogeneous phenotypes, resulting from combinations of target alteration, target overexpression and enhanced efflux, as resistance mechanisms (Leroux & Walker, 2011; Huf *et al.*, 2018). This point highlights an important limitation to in vitro phenotyping in cases of quantitative resistance. The tremendous diversity and redundancy of phenotypes observed in the field, especially for TriHR strains, making it possible to classify strains only approximately. There is therefore a need to develop molecular tools for quantifying genotypes rather than phenotypes. Multi-trait high-throughput genotyping provides a more accurate resistance frequency, and should ultimately lead to improvements in our ability to predict resistance evolution.

The proportion of the wheat area under organic farming may be still too limited to mitigate the evolution of resistance via a refuge effect

Wheat areas managed under organic farming systems are not treated with synthetic fungicides. They could, therefore, act as refuges, for "wild" susceptible or less resistant individuals, which may reproduce, delaying the evolution of resistance by a dilution effect in mobile species (Gould, 2000). But refuges may also provide a heterogeneous environment, promoting sink-source dynamics: selection-free wheat areas acting as a source of susceptible strains that migrate towards areas farmed conventionally. These opposite effects have been described in studies of the resistance to transgenic crops expressing *Bacillus thuringiensis* (Bt) toxins, non-Bt crops acting as refuges (Huang *et al.*, 2011) or promoting the evolution of resistance (Caprio, 2001).

The refuge effect for *Z. tritici* is, theoretically, weak due to the haploid nature of this organism (Shaw, 2009), but this has never been studied experimentally. We did not validate the beneficial effect of refuges, approximated by the area under wheat farmed organically. On the contrary, we estimated that the selection of StrR and TriMR phenotypes would increase with wheat areas under organic

504 farming. However, the weight of this explanatory variable remained much lower than that for regional
505 fungicide use. This effect was not found to be significant for the other phenotypes.
506
507 According to Huang *et al.* (2011), three conditions must be satisfied for refuge strategies to be
508 successful: selection at "high dose", a very low initial frequency of resistance, and sufficient refuge
509 areas located nearby. In our study, Initial frequencies of resistance (*i.e.* at the very beginning of our
510 study) were already quite high (up to 85% in some regions, Fig. 1). In addition, the area under organic
511 farming may still be too small within the landscape (generally less than 1% until 2010). Nevertheless,
512 since the 2010s, the proportion of wheat under organic farming has steadily increased (Fig. 1). The
513 effect of the area under organic wheat maybecome detectable in those areas should be investigated
514 further, particularly for emerging resistance phenotypes.
515
516 ***The growth constant reveals a fitness penalty of resistant phenotypes***
517 The growth constant represents the evolution of resistant phenotypes in the absence of fungicide
518 treatment. It represents the apparent fitness of the phenotype relative to that of the susceptible
519 phenotype (or other resistant phenotypes, in the case of quantitative resistance). The term "apparent"
520 is used because this quantification takes place in current crop conditions. The fitness cost of resistance
521 to drugs is known to be a key parameter driving effective anti-resistance strategies (Andersson &
522 Hughes, 2010; Melnyk *et al.*, 2015; Mikaberidze & McDonald, 2015). If there is no fitness cost,
523 regardless of the strategy used, it will always result in the irreversible selection of resistance. However,
524 it remains difficult to infer the global fitness cost of a mutation empirically throughout the entire life
525 cycle of a pathogen (Hollomon, 2015).
526
527 We inferred an apparent relative fitness penalty for StrR phenotypes, resulting in a annual decrease of
528 3.74%. This was consistent with the fitness cost described by Hagerty & Mundt (2016) by virulence
529 comparison tests. The impact of cyp51 alterations, leading to TriR phenotypes, on fitness is often

evoked to explain the evolution of azole resistance (Cools & Fraaije, 2013; Blake et al., 2018) but it has not been quantified as yet. However, such costs are often evoked to explain the evolution of azole resistance in *Z. tritici* populations. Our model is consistent with this hypothesis as itWe inferred an apparent fitness penalty for the TriMR group of phenotypes (-3.94% per year). Decreases in the use of QoI and DMI fungicides, and/or the implementation of strategies favouring the expression of a resistance cost, may help to slow the evolution of resistance. From our estimates, we can extrapolate a theoretical equilibrium between resistance cost and selection, resulting in a null growth of the StrR and TriMR phenotypes by reducing by 50% for QoIs and 18% for DMIs.

Commenté [FC5]: J ai enlevé les ref.

For the TriR6 and TriR7-TriR8 phenotypes, the value of the growth constant is an integrative value, as these phenotypes were studied alongside more susceptible phenotypes (TriLR before 2010) and more resistant phenotypes (TriHR after 2010). The positive growth constant indicate a fitness benefit relative to TriLR phenotypes and/or fitness benefit relative to TriHR phenotypes (*i.e.* a fitness cost of TriHR). Our model could be extended to determine the relative fitnesses of each phenotype in cases of quantitative resistance. The global informative indicator provided by our model could be used to guide the design of optimal large-scale fungicide deployment strategies.

The yield losses caused by STB do not affect resistance evolution

Population size, a major parameter in population adaptation (Good *et al.*, 2012), is generally positively correlated with resistance evolution (Weber & Diggins, 1990; Anderson, 2005; zur Wiesch *et al.*, 2011). Indeed, a large population size increases the number of mutants generated and decreases genetic drift (Linde *et al.*, 2002). We inferred this effect using potential yield losses caused by STB as a proxy, but no significant effect was detected for any resistance phenotype. Population size may not be limiting for resistance evolution in *Z. tritici* (Zhan *et al.*, 2001; Mikaberidze *et al.*, 2017), particularly at larger scales. Population size may not be described accurately enough as it also depends on the timing of infection (Shaw & Royle, 1993) and on stubble management (McDonald & Mundt, 2016).

Predicting resistance evolution over years

Prediction maps can be computed from our model, using only the initial regional frequencies of the resistant phenotypes, the history of fungicide use (between the initial year and the year to be predicted) and the history of area under organic farming. Predictions for the StrR phenotype from 2004 to 2011 highlighted the same colonisation front structure from the north to the south of France (Fig. 2) as reported by Garnault *et al.* (2019) albeit the regions are assumed to be fully independent. Predictions for the TriR6 and TriR7-TriR8 phenotypes also yielded stable spatial distributions between North-East and South-West France (Fig. 3), as previously observed in Garnault *et al.* (2019).

Further analysis will be required to assess the prediction quality of the model. Nevertheless, this finding supports the global validity of our model and paves the way for an original approach to predicting resistance evolution in a heterogeneous landscape.

Conclusion

We developed a new model of resistance dynamics, which identified regional fungicide use as the major determinant of fungicide resistance evolution, and the area under organic farming as a weaker explanatory variable. We estimated the apparent relative fitness of resistant phenotypes, a key parameter for the development of sustainable resistance management strategies. We thus showed that this parameter has a significant effect on the regional evolution of resistant phenotypes in natural large-scale conditions. We also identified active ingredients with two types of mode of action as most tightly linked to resistance evolution. In conclusion, we show here that the spatiotemporal evolution of resistance can be mostly explained by fungicide use at regional scale, highlighting the determination of resistance evolution at a truly large scale and demonstrating that concerted collective action is required, to reinforce individual initiatives, to tackle this threat effectively.

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590

591 **6 AUTHOR CONTRIBUTIONS**

592 Gilles Couleaud was responsible for Performance network administration and supervision and for
593 establishing the dataset. Pierre Leroux, Anne-Sophie Walker and Clémentine Duplaix carried out
594 laboratory manipulations on the network samples to determine resistance frequencies in *Z. tritici*
595 populations. Florence Carpentier and Olivier David were involved in the development of the
596 methodology and provided theoretical support for statistical aspects for data analyses. Maxime
597 Garnault handled data, coded the model, performed statistical analyses and generated most of the
598 results (figures, maps and tables) during his PhD work. The article was written by Maxime Garnault,
599 Florence Carpentier, Anne-Sophie Walker and Olivier David.
600

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750

Phenotype		First year observed	Number of years observed	Number of regions monitored	Number of regions monitored yearly	Number of observations
StrR		2004	9	16	9	852
TriMR	**Total**	2005	7	16	13	754
	TriR6	2006	12	16	6	910
	TriR7-TriR8	2006	12	16	6	851
TriHR		2010	8	14	6	360
Total						**3727**

751 **Table 1** Data used for the statistical analysis.

752 All regions were monitored for at least 80% of the years studied.

753

	Phenotype resistant to QoIs			Phenotype resistant to DMIs								
	StrR				TriMR (≤2011)			TriR6 (2006-2017)			TriR7-TriR8 (2006-2017)	
Variable	**Estimate ± 1 SD**		**Relative weight**		**Estimate ± 1 SD**	**EFC**	**Relative weight**	**Estimate ± 1 SD**	**EFC**	**Relative weight**	**Estimate ± 1 SD**	**EFC**	**Relative weight**
Growth constant (β)	-0.2 * ± 0.09	*-3.74*	**0.039**		-0.2 · ± 0.11	*-3.94*	**0.127**	0.15 ** ± 0.05	*3.58*	**0.402**	0.09 · ± 0.05	*1.63*	**0.402**
Fungicide use (ν_f)			**0.874**				**0.726**			**0.531**			**0.433**
QoI **Kresoxim-methyl**	0.7 *** ± 0.14	*4.26*		**DMI** **Cyproconazole**	0			0			0.58 ** ± 0.2	*2.6*	
Pyraclostrobin	0.5 ** ± 0.17	*3.29*		**Epoxiconazole**	0.56 ** ± 0.18	*4.82*		0			0		
Azoxystrobin	0			**Prochloraz**	0			0.85 *** ± 0.16	*4.73*		-1.17 *** ± 0.17	*-3.99*	
Fluoxastrobin	0			**Propiconazole**	0			-0.43 ** ± 0.14	*-2.48*		0		
Picoxystrobin	0			**Tebuconazole**	0			-1.04 *** ± 0.19	*-8.38*		0.44 * ± 0.21	*2.38*	
Trifloxystrobin	0			**Fluquinconazole**	0			0			0		

				Hexaconazole	0			X			X	
				Metconazole	0			0			0	
				Prothioconazole	0			0			0	
Yield losses (ρ)	0		**0**		0		**0**	0		**0**	0	**0**
Area under organic farming (κ)	0.64 *** ± 0.14	*3.26*	**0.068**		0.37 *** ± 0.01	*2.52*	**0.133**	0		**0**	0	**0**
Wheat cultivar (σ_γ)	0.26 ** ± 0.08	*±4.68*	**0.005**		0.14 * ± 0.06	*±2.64*	**0.006**	0.21 ** ± 0.07	*±5.19*	**0.024**	0.37 *** ± 0.09	*±6.18*	**0.134**
Sampling date (δ_{S1})	-0.41 *** ± 0.1	*-8.16*	**0.014**		-0.17 ** ± 0.06	*-3.34*	**0.008**	-0.33 *** ± 0.08	*-8.23*	**0.043**	0.15 · ± 0.08	*2.62*	**0.031**

Table 2 Estimates from the M_{AI} model for the StrR, TriMR, TriR6 and TriR7-TriR8 resistance phenotypes.

For each phenotype, the three subcolumns show parameter estimates (*i.e.* posterior mean) and their variability (*i.e.* posterior standard deviation), expected frequency change (EFC, *i.e.* the change in frequency due to the mean value of the variable in a mean frequency population), and relative weights (*i.e.* the contribution of the variable to data variability). The significance thresholds are 0.1, 0.05, 0.025 and 0.001 denoted by ".", "*", "**" and "***", respectively. Rows represent the different parameters of the models, ordered as follows: growth constant, regional scale explanatory variables (fungicide use, yield losses and area of wheat under organic farming) and local variation factors (wheat cultivar and sampling date). "0" means that the parameter was not selected during variable selection. "X" means that the variable was not considered in the model for the given phenotype. The results for the TriHR phenotypes are not shown as no explanatory variables were retained by the selection procedure.

	StrR		
Variable	**Estimate ± 1 SD**	**EFC**	**Relative weight**
Growth constant (β)	-0.17 ± 0.13	-3.23	0.041
Fungicide use (ν)	1.07 *** ± 0.26	6.64	0.794
Yield losses (ρ)	0		0
Area under organic farming (κ)	0.78 *** ± 0.16	3.95	0.146
Wheat cultivar (σ_γ)	0.31 ** ± 0.09	±5.57	0.009
Sampling date (δ_{S1})	-0.35 *** ± 0.1	-6.87	0.01

Table 3 Estimates from the M_{MoA} model for the StrR phenotype.

The three subcolumns show the parameter estimates (*i.e.* posterior mean) and their variability (*i.e.* posterior standard deviation), expected frequency change (EFC, *i.e.* the change in frequency due to the mean value of the variable in a mean frequency population), and relative weights (*i.e.* the contribution of the variable to data variability). The significance thresholds are 0.1, 0.05, 0.025 and 0.001 denoted by ".", "*", "**" and "***", respectively. Rows represent the different parameters of models, ordered as follows: growth constant, regional scale explanatory variables (fungicide use, yield losses and area of wheat under organic farming) and local variation factors (wheat cultivar and sampling date). "0" means that the parameter was not selected during variable selection. The results for TriMR and TriHR resistance phenotypes are not shown as no explanatory variable was retained by the selection

773 procedure.

774

Fig. 1 Changes in resistance frequencies (left) and explanatory variables (right, top to bottom, fungicide use, yield losses and area of wheat under organic farming).

Bold lines: mean regional values. Shaded areas: quantiles of regional values (*i.e.* regional variability), 25% and 75% (dark grey), 2.5% and 97.5% (light grey). Dotted lines: regional minimum and maximum values. Fungicide use is expressed in $ha_{\frac{D}{C}}$ corresponding to the mean number of times each mode of action was used for spraying over a cropping season, regardless of the dose used. Yield losses are expressed in decitons (quintals) per hectare.

783 **Fig. 2** Maps of observed and predicted frequencies of the StrR resistance phenotype from 2004 to
784 2011.
785 Real observations are represented by dots. The colour within the dot indicates the observed frequency
786 in trials. The background map color shows the regional prediction from the M_{AI} model.
787

788 **Fig. 3** Maps of observed and predicted frequencies of TriR6 and TriR7-TriR8 resistance phenotypes in
789 2008.
790 Real observations are represented by dots. The colour within the dot indicates the observed frequency
791 in trials. The background map colour shows the regional prediction from the M_{AI} model. Left: TriR6.
792 Right: TriR7-TriR8.